

Bandman Advisors

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Highlighted 2017 Speaking Engagements

U.S. Securities and Exchange Commission

Washington, DC

Meeting of the Securities and Exchange Commission Investor Advisory Committee

Expert Testimony, Discussion Regarding Blockchain and Other Distributed Ledger Technology and Implications for Securities Markets

<https://www.sec.gov/spotlight/investor-advisory-committee-2012/jeff-bandman-statement-iac-101217.pdf>

Bloomberg Daybreak: Americas

Washington, DC

Jeff Bandman, founder and principal at Bandman Advisors, talks about what futures trading of bitcoin means to investors. He speaks with Bloomberg's Alix Steel and David Westin on "Bloomberg Daybreak: Americas."

<https://www.bloomberg.com/news/videos/2017-12-04/bandman-bitcoin-futures-trustworthy-to-investors-video>

<u>University College London Centre For Blockchain Studies</u>	London, UK
Presentation of paper "Real-time Regulation and Blockchain Data"	
<u>Yale School of Management</u>	New Haven, CT
Guest Lecture on RegTech/Real-Time Regulation	
Speaker, The FinTech Transformation Conference	
<u>RegTech Rising</u>	London, UK
Presenter, Expert Insight On Applications of Distributed Ledger Technology To RegTech	
Panelist, Which New Technologies Will Really Change the Face of Regulatory Technology	
<u>NYU Stern School of Business</u>	New York, NY
"The Global Regulatory Sandbox: How is Regulation Shaping FinTech Globally?"	
<u>Berkman Center For Internet & Society at Harvard University</u>	Cambridge, MA
"How to regulate the future of finance?"	
<u>Harvard Kennedy School</u>	Cambridge, MA
Regulation Innovation Roundtable: Can Technology Transform Regulatory Design?	
<u>Milken Institute</u>	London, UK
Fostering Responsible Innovation, Milken Institute Private Roundtable	
<u>CFTC International Regulators Meeting</u>	Boca Raton, FL
"FinTech and its Implications on the Industry and Regulators"	
<u>CPMI-IOSCO Regulators Meeting</u>	Hong Kong
Presented findings of work stream on reporting and data management at CPMI-IOSCO Joint Working Group on Digital Innovation	
<u>Tabb Forum</u>	New York, NY
RegTech Stream: Applying Machine Learning to Compliance Data to Achieve Competitive Advantage	
<u>The Trading Show</u>	New York, NY
Spotlighting 'RegTech' – how can cutting-edge technology transform GRC (governance, risk and compliance) from a back-office burden into an enterprise opportunity?	
<u>FinTech Intel</u>	New York, NY
Beyond Compliance: RegTech as a Competitive Advantage	

Jeff Bandman is Founder and Principal of Bandman Advisors, an advisory practice focused on helping financial services clients ranging from start-ups to global firms meet innovation and regulatory strategy challenges. He is also a member of the Blockchain for Algorithmic Regulation and Compliance (BARAC) initiative at University College London's Centre for Blockchain Technologies, and serves as FinTech regulation mentor for the Techstars Barclays FinTech Accelerator NYC program.

Previously, Jeff served at the U.S. Commodity Futures Trading Commission from 2014-2017. As FinTech Advisor to Chairman J. Christopher Giancarlo, he was Founding Director and architect of LabCFTC, the CFTC's new hub for engagement with FinTech innovation. Jeff led FinTech and RegTech coordination with domestic and international regulators, and led an international regulator workstream on post-trade digital innovation. He established and chaired the CFTC blockchain/DLT/FinTech staff working group.

Jeff worked for many years in the financial industry in New York and London. He started his career as an associate at Cravath, Swaine & Moore in New York. He graduated from Yale *magna cum laude*, with honors in History and English, and from Stanford Law School, with honors as a member of the "Order of the Coif". He is a five-time Jeopardy! Champion and spent his winnings backpacking around Africa, Asia and Europe.

For more information on Jeff Bandman please visit:

<https://www.bandmanadvisors.com>